

CSPs for children:
Fine-grained complexity of graph
homomorphism problems

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Graph homomorphism: adult's view

- ▶ Graph homomorphism \equiv finite-domain CSP with one binary symmetric relation

Graph homomorphism: adult's view

- ▶ Graph homomorphism \equiv finite-domain CSP with one binary symmetric relation
- ▶ only one? and symmetric?

Why should we care about CSPs for children?

Even if meant for kids, still fun for adults



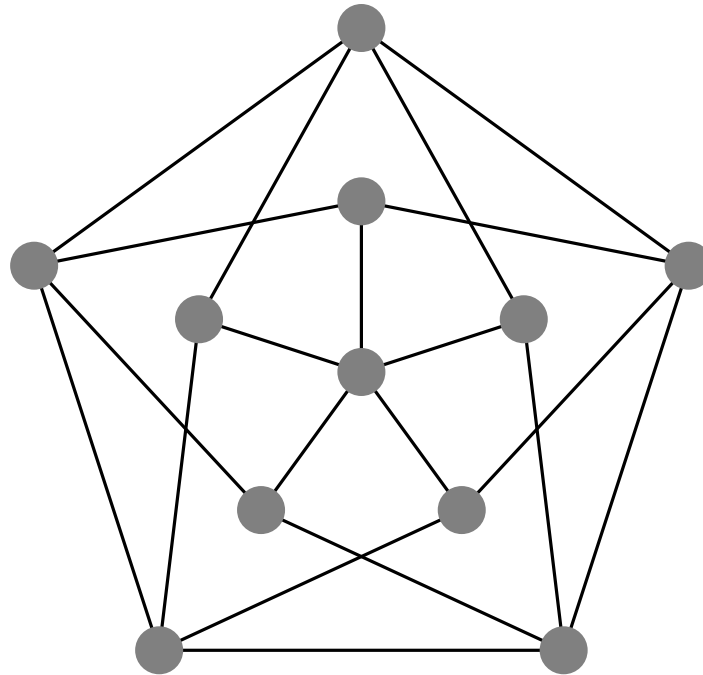
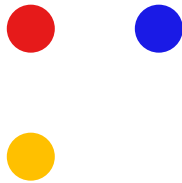
Children like coloring...

k-Coloring

Input: a graph G with n vertices

Question: can G be properly colored with k colors?

$k = 3$



No

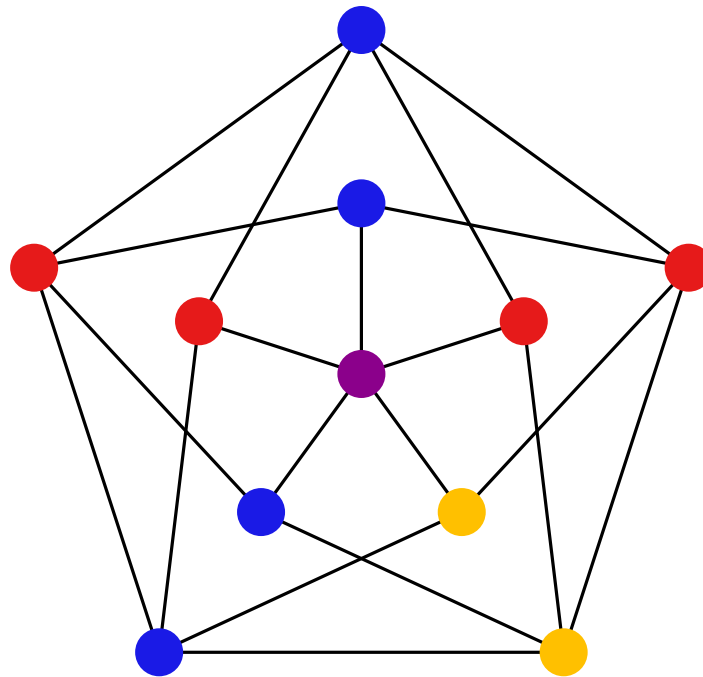
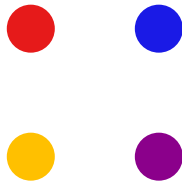
Children like coloring...

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Question: can G be properly colored with k colors?

$k = 4$



Yes

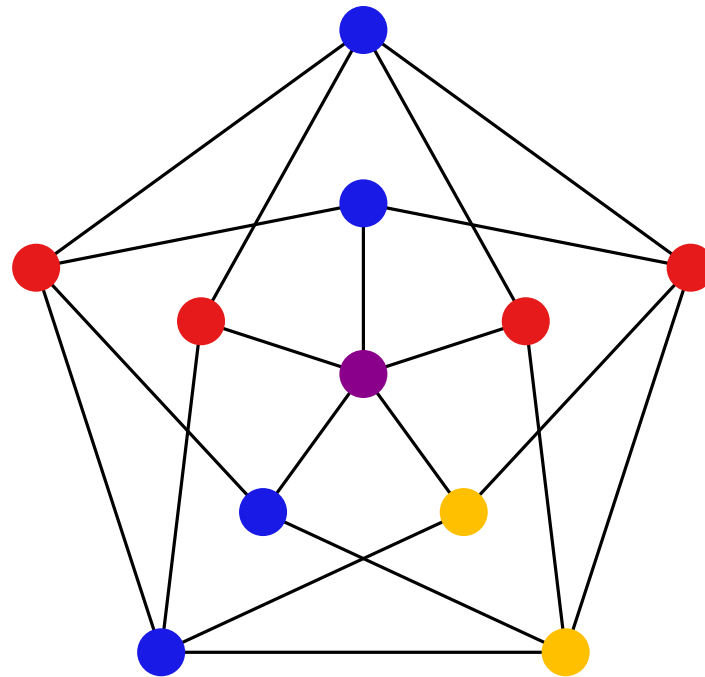
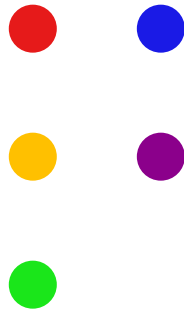
Children like coloring...

k-Coloring

Input: a graph G with n vertices

Question: can G be properly colored with k colors?

$k = 5$



Yes

Some classics

Theorem (Szekeres-Wilf). If every subgraph of G has a vertex of degree $\leq k - 1$, then G admits a proper k -coloring.

Theorem (Appel, Haken). Every planar graph admits a proper 4-coloring.

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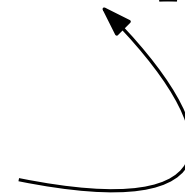
Theorem (Appel, Haken). Every planar graph admits a proper 4-coloring.

Theorem (Karp). For every $k \geq 3$, the k -Coloring problem is NP-complete (and polynomial-time-solvable for $k \leq 2$).

▶ brute force: $k^n \cdot \text{poly}(n)$

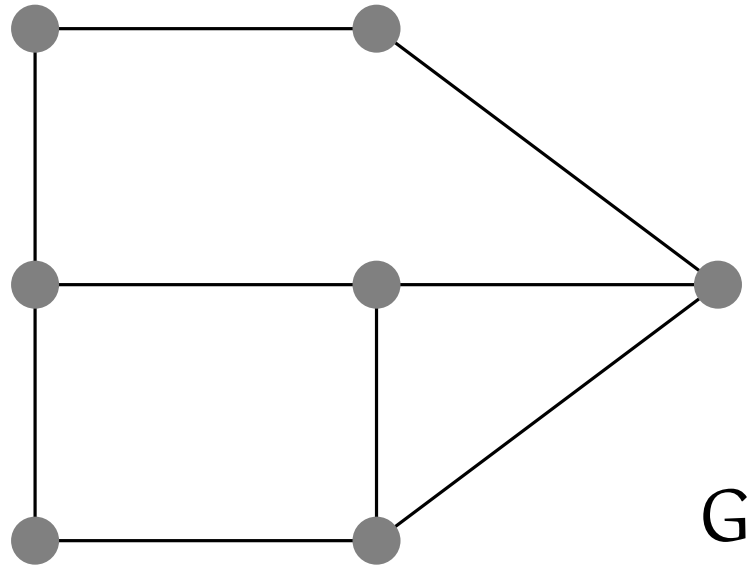
Theorem (Björklund, Husfeldt, Koivisto). For every k , the k -Coloring problem can be solved in time $2^n \cdot \text{poly}(n)$.

not depending on k

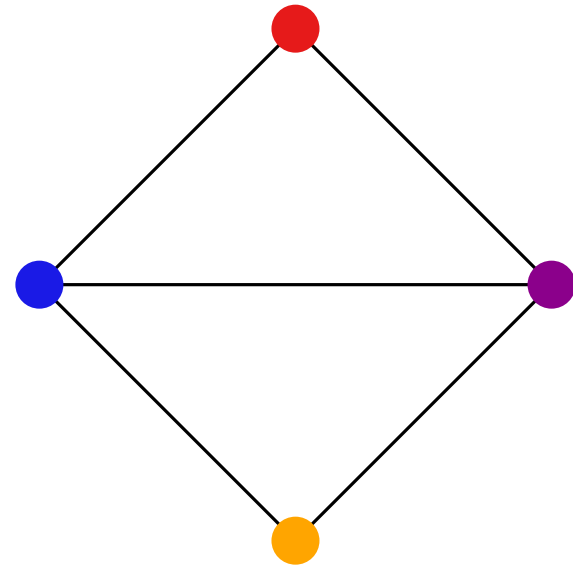


Graph coloring for grown-ups

Homomorphism from G to H (also called an **H-coloring**) \equiv
edge-preserving mapping from $V(G)$ to $V(H)$

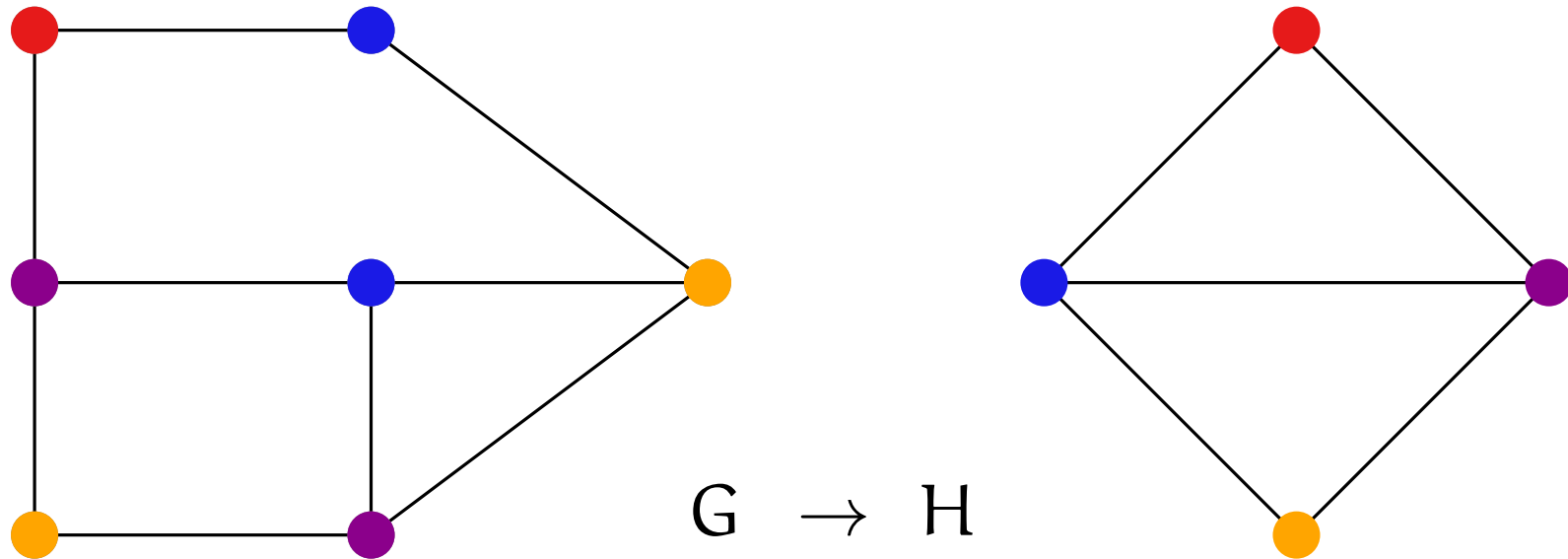


H



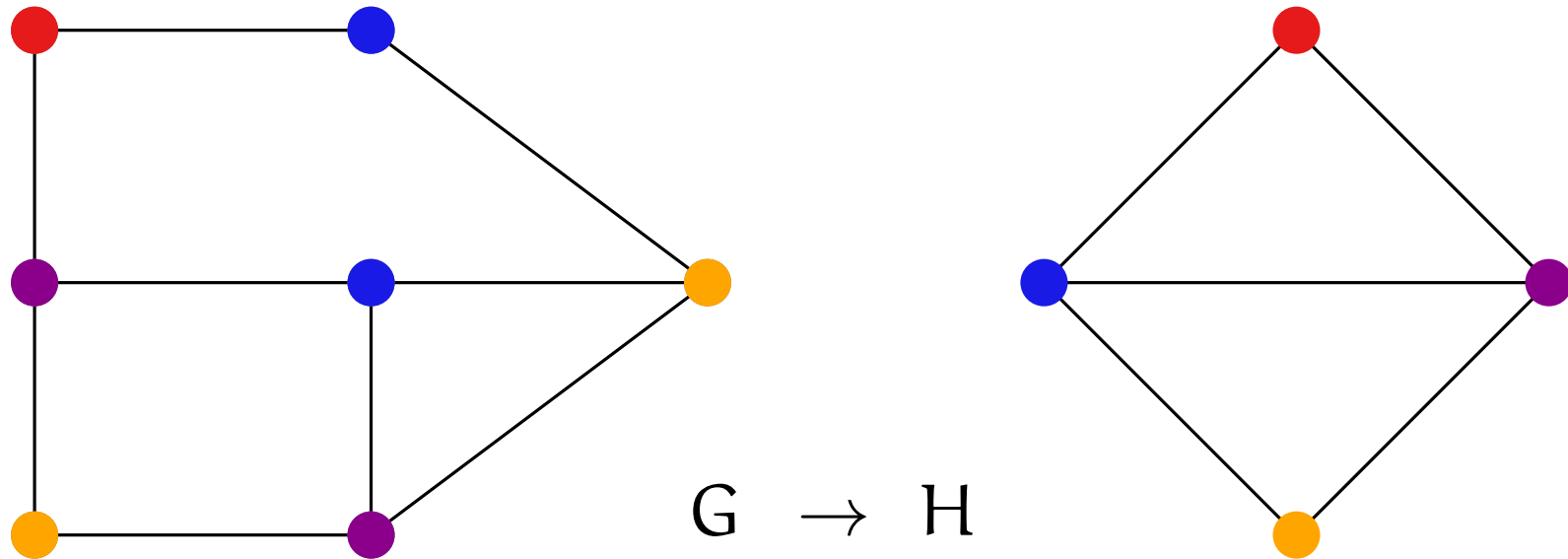
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H-Coloring

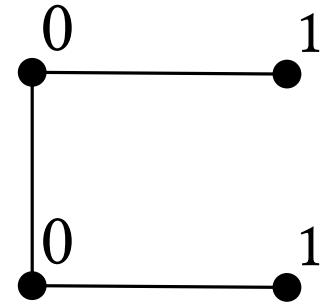
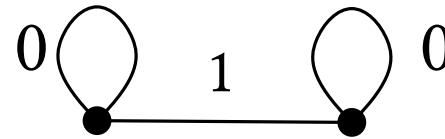
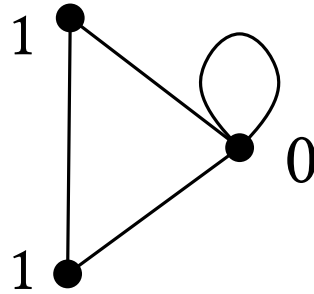
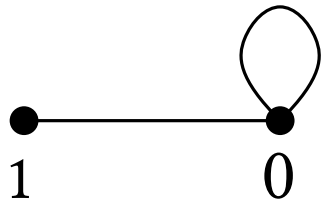
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- ▶ K_k -Coloring \equiv k -Coloring

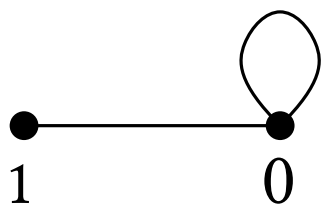
Not only colorings

maximize total weight

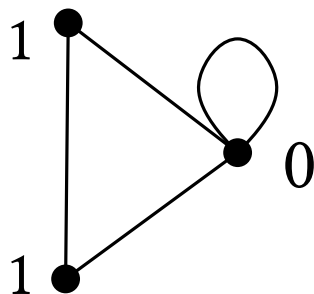


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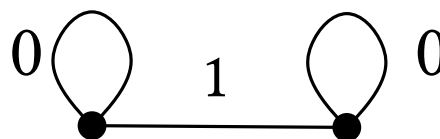
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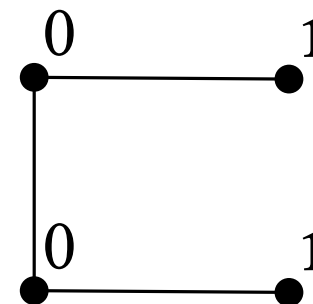
Independent Set



Odd Cycle Transversal



Max Cut



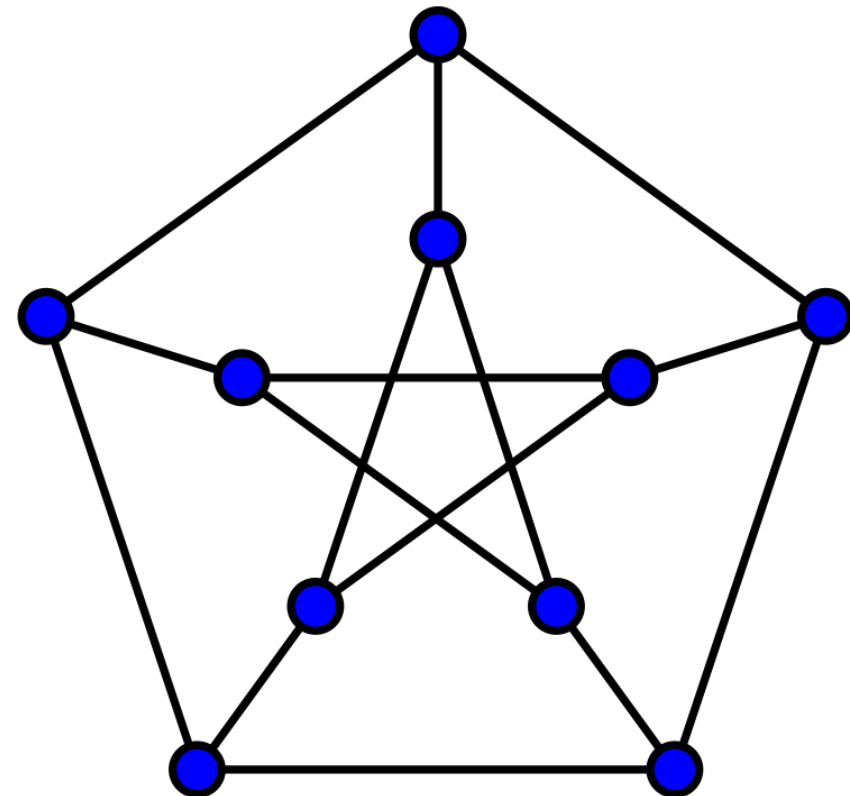
Independent Set in bipartite graphs



Classics rediscovered

Theorem (Szekeres-Wilf). If every subgraph of G has a vertex of degree $\leq k - 1$, then G admits a proper k -coloring.

Theorem (Chen, Raspaud). If every subgraph of G has average degree < 2.5 and G has no triangles, then G admits a homomorphism to the Petersen graph.



- ▶ first case of a very nice conjecture!

Classics rediscovered, ctd.

Theorem (Appel, Haken). Every planar graph admits a proper 4-coloring.

Theorem (Grötzsch). Every triangle-free planar graph admits a proper 3-coloring.

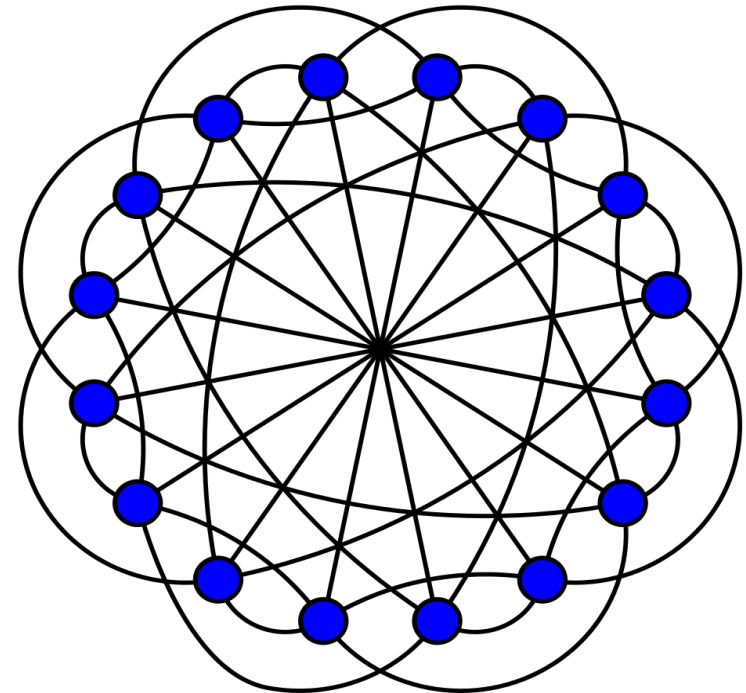
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Theorem (Naserasr, Migussie, Škrekovski). Every triangle-free planar graph admits a homomorphism to the Clebsch graph.

it's planar and triangle-free



Complexity of the problem

Theorem (Karp).

For every $k \geq 3$, the k -Coloring problem is NP-complete.

Theorem (Hell, Nešetřil).

For every loopless, nonbipartite H , the H -Coloring problem is NP-complete.

- ▶ otherwise is polynomial-time-solvable (and easy)

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For every k , the k -Coloring problem can be solved in time $2^n \cdot \text{poly}(n)$.

- ▶ no c^n -algorithm for universal constant c

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Theorem (Cygan, Fomin, Golovnev, Kulikov, Mihajlin, Pachocki, Socała).

There is no $2^{o(n \log |H|)}$ algorithm, assuming the ETH.

Coloring bounded-treewidth graphs

- ▶ From now on assume that G has n vertices and is given with a tree decomposition of width tw
- ▶ For every k , k -Coloring can be decided in time $k^{tw} \cdot \text{poly}(n)$
- ▶ same for list coloring, for counting colorings...

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Theorem (Lokshtanov, Marx, Saubrahm).

For any $k \geq 3$, k -Coloring cannot be solved in time $(k - \varepsilon)^{tw} \cdot \text{poly}(n)$, assuming the SETH.

- ▶ ... and thus also list coloring, counting colorings etc.

Homomorphisms and bounded treewidth

- ▶ we consider connected non-bipartite graphs H with no loops
- ▶ we can also assume that H is a *core* (has no homomorphism to its proper subgraph)

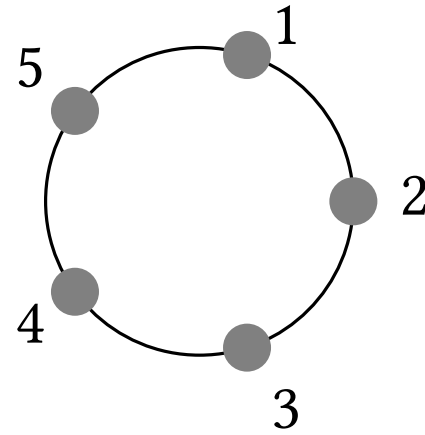
Problem.

For every graph H , find $k = k(H)$, such that H -coloring of G

- ▶ can be solved in time k^{tw} ,
 - ▶ cannot be solved in time $(k - \varepsilon)^{\text{tw}}$, unless the SETH fails.
- let's not write $\cdot \text{poly}(n)$
- ▶ $k \leq |H|$
 - ▶ if H is complete, then $k = |H|$

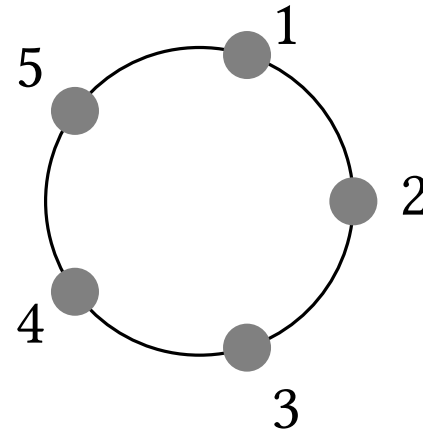
Warm-up: C_5

- ▶ the smallest non-complete core
- ▶ can you beat 5^{tw} ?



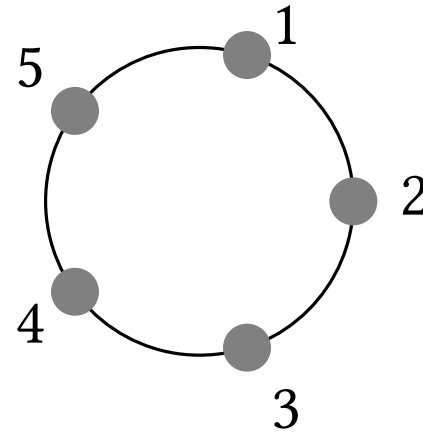
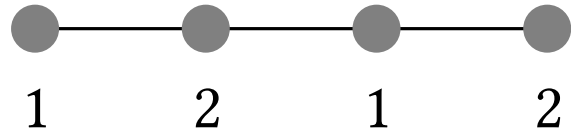
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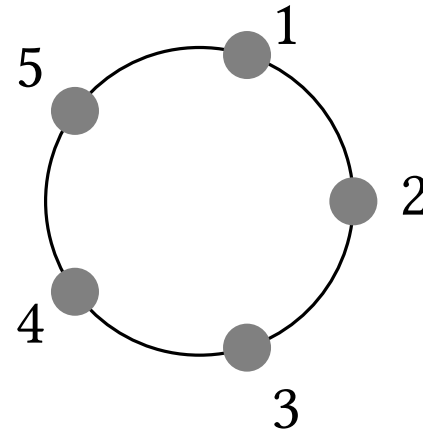
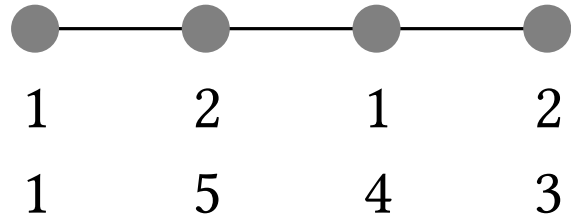
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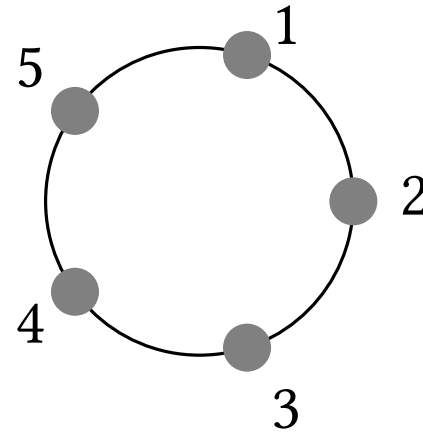
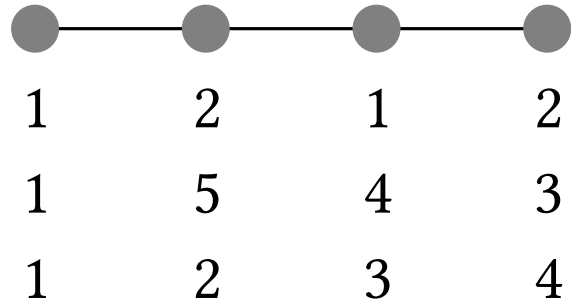
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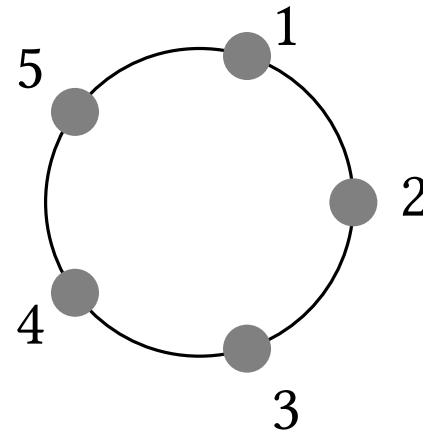
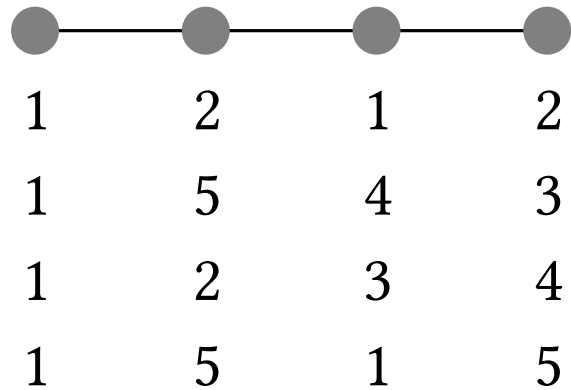
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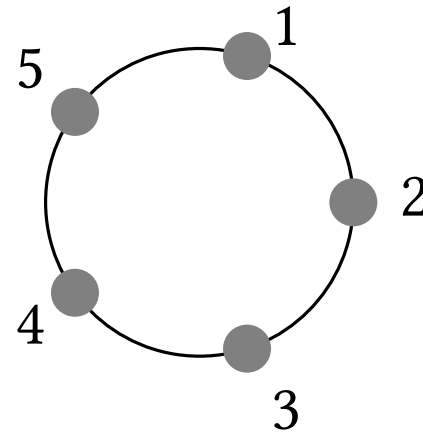
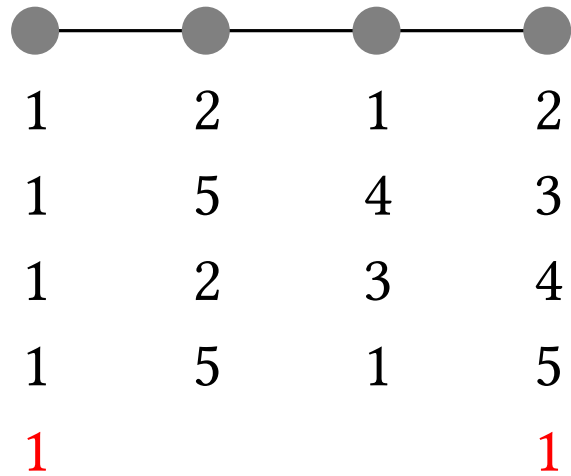
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Algorithmic idea: direct products

- ▶ for graphs H_1, H_2 , we define their **direct product** $H_1 \times H_2$ as follows:
 - ▶ $V(H_1 \times H_2) = V(H_1) \times V(H_2)$
 - ▶ $(u_1, u_2)(v_1, v_2) \in E(H_1 \times H_2)$ iff $u_1v_1 \in E(H_1)$ and $u_2v_2 \in E(H_2)$

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- ▶ the generalization to $H_1 \times H_2 \times \dots \times H_m$ is natural (\times is associative and commutative)
- ▶ $G \rightarrow H_1 \times H_2 \times \dots \times H_m$ iff $G \rightarrow H_i$ for every $i \in [m]$

Corollary.

If $H = H_1 \times H_2 \times \dots \times H_k$, where $|H_1| \geq |H_2| \geq \dots \geq |H_m|$, then H -coloring of G can be solved in time $|H_1|^{\text{tw}}$.

Special case: projective graphs

- ▶ for any k we have $H^k \rightarrow H$, e.g., **projections** on each coordinate
- ▶ H is **projective** if for all $k \geq 2$ projections are the only homomorphisms from H^k to H (up to automorphisms)
- ▶ there are non-projective graphs, e.g., all direct products

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Lemma.

Let H be a projective core. Then there exists an **edge gadget**, i.e., a graph F with two special vertices x, y , such that:

- ▶ for any $h: F \rightarrow H$ we have $h(x) \neq h(y)$,
- ▶ for any distinct $u, v \in V(H)$ there is $h: F \rightarrow H$, such that $h(x) = u$ and $h(y) = v$.

Construction of the edge gadget

- ▶ $V(H) = \{v_1, v_2, \dots, v_k\}$
- ▶ $F = H^{k(k-1)}$

$$\begin{aligned} \mathbf{x} &= (\overbrace{x_1, x_1, \dots, x_1}^{k-1}, \overbrace{x_2, x_2, \dots, x_2}^{k-1}, \dots, \overbrace{x_k, x_k, \dots, x_k}^{k-1}) \\ \mathbf{y} &= (x_2, x_3, \dots, x_k, x_1, x_3, \dots, x_k, \dots, x_1, x_2, \dots, x_{k-1}) \end{aligned}$$

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- ▶ for every distinct u, v there is a coordinate ℓ , such that $x[\ell] = u$ and $y[\ell] = v \rightarrow$ the projection on the ℓ -th coordinate is a homomorphism that maps \mathbf{x} to u and \mathbf{y} to v

Projective graphs: lower bound

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- ▶ let G be an instance of k -coloring with $k := |H|$
- ▶ construct G^* by replacing every edge with a copy of F
- ▶ G is $|H|$ -colorable iff G^* is H -colorable

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- ▶ $\text{tw}(G^*) \leq \text{tw}(G) + |F| = \text{tw}(G) + \mathcal{O}(1)$

- ▶ finding H -coloring of G^* in time $(k - \varepsilon)^{\text{tw}(G^*)} \rightarrow$
finding k -coloring of G in time $(k - \varepsilon)^{\text{tw}(G)} \rightarrow$ the SETH fails

Projective vs. non-projective graphs

Theorem (Okrasa, Rz.)

Let H be a projective core. Then H -coloring of G cannot be solved in time $(|H| - \varepsilon)^{tw}$, unless the SETH fails.

- ▶ tight: the straightforward algorithm works in time $|H|^{tw(G)}$

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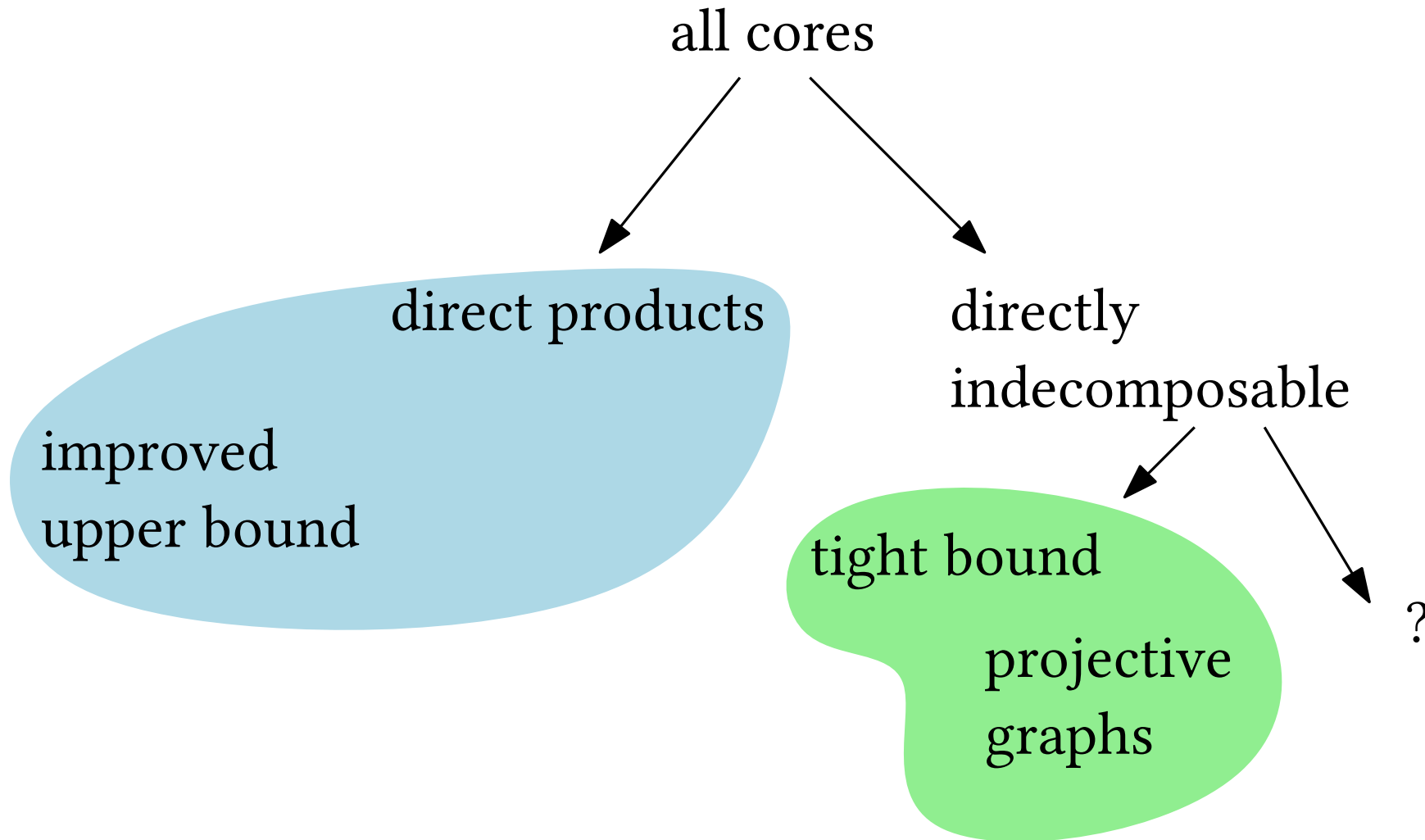
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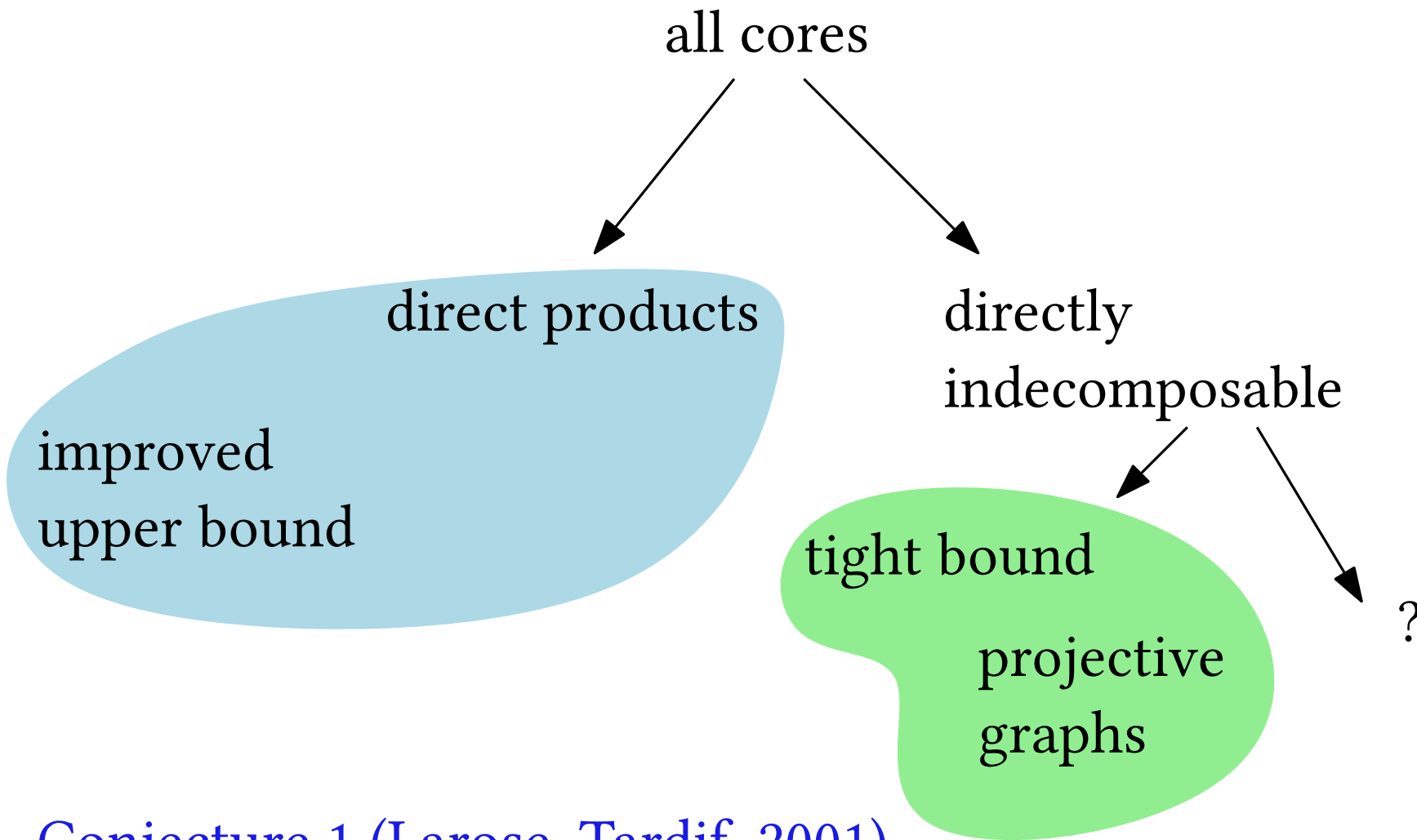
Proposition.

There exists an edge gadget for H if and only if H is projective.

Overview on the current situation



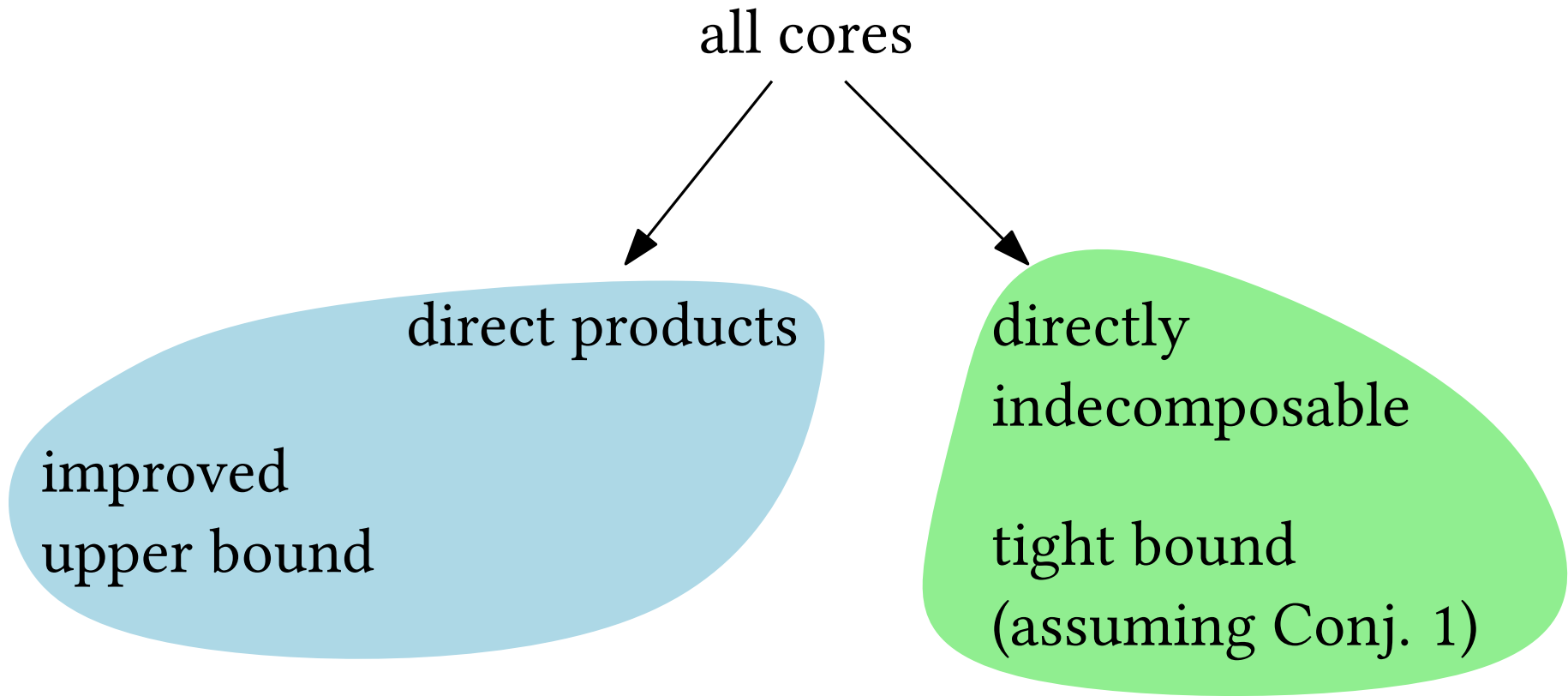
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Conjecture 1 (Larose, Tardif, 2001).

A connected non-bipartite core is indecomposable iff it is projective.

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Direct products of graphs – a closer look

- ▶ consider $H = H_1 \times H_2 \times \dots \times H_m$,
where $|H_1| \geq \dots \geq |H_m|$ and each H_i is indecomposable
- ▶ since H is a core, each H_i is a core
- ▶ Conjecture 1 implies that each H_i is projective
- ▶ recall that we can solve H -coloring of G in time $|H_1|^{\text{tw}(G)}$

Direct products of graphs – a closer look

- ▶ consider $H = H_1 \times H_2 \times \dots \times H_m$,
where $|H_1| \geq \dots \geq |H_m|$ and each H_i is indecomposable
- ▶ since H is a core, each H_i is a core
- ▶ Conjecture 1 implies that each H_i is projective
- ▶ recall that we can solve H -coloring of G in time $|H_1|^{\text{tw}(G)}$
- ▶ Larose (2002) studied a subclass of projective graphs, called **strongly projective**

Theorem (Okrasa, Rz.).

If H_1 is strongly projective, then H -coloring of G cannot be solved in time $(|H_1| - \varepsilon)^{\text{tw}(G)}$, unless the SETH fails.

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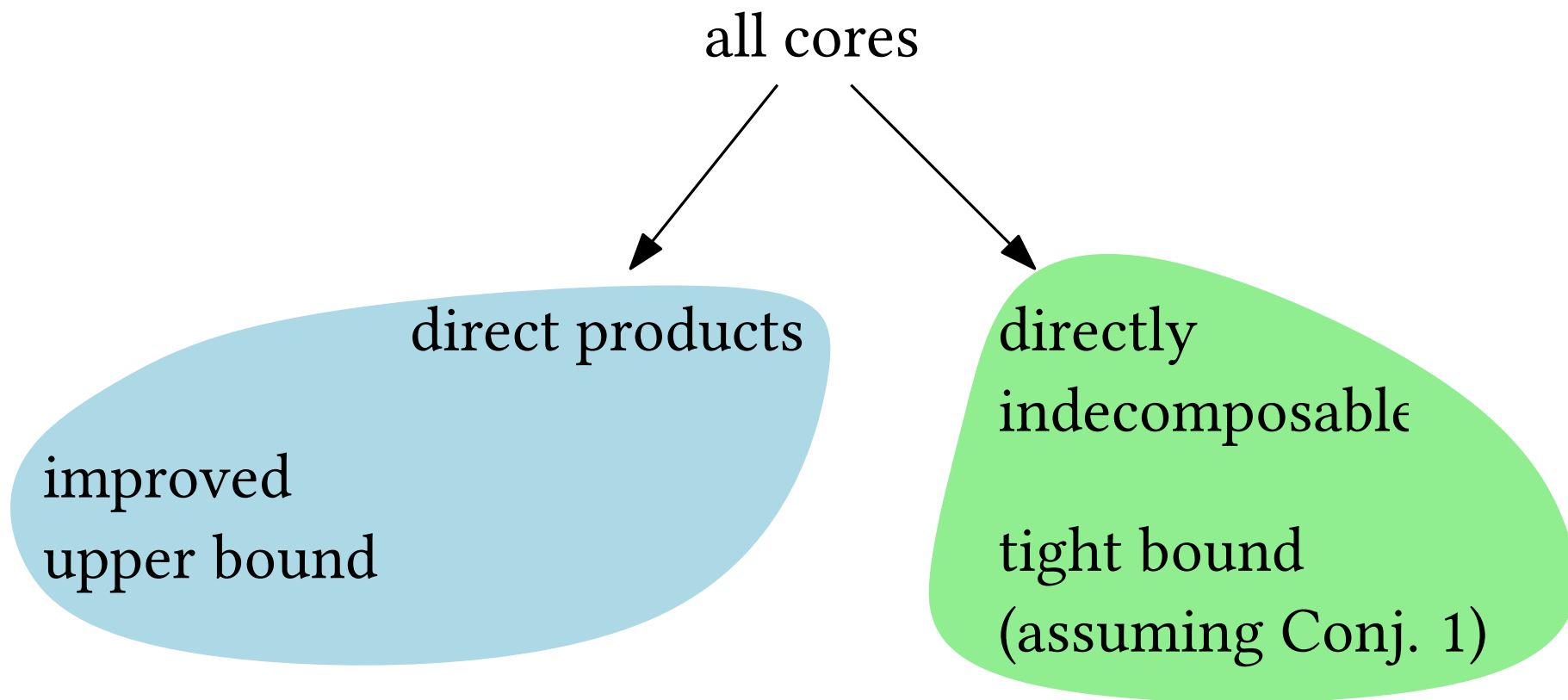
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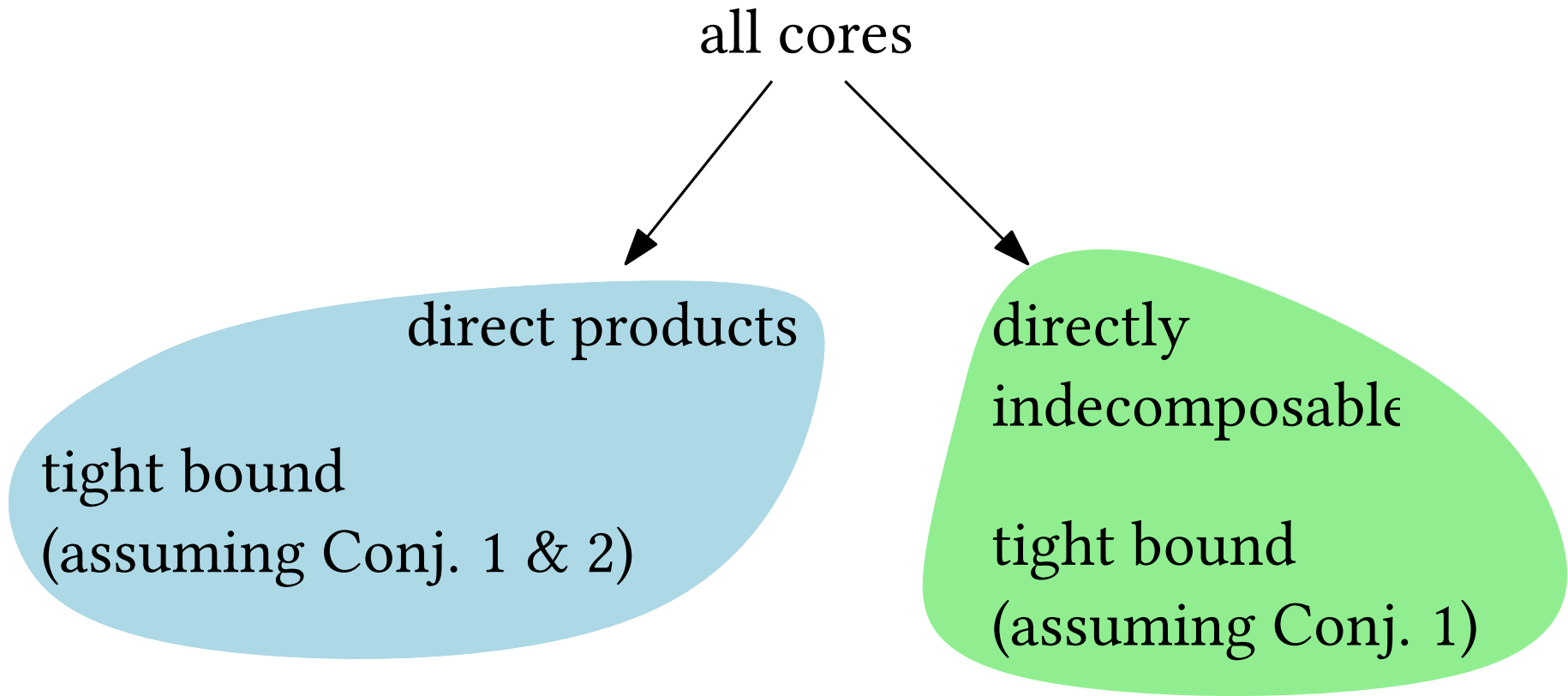
Conjecture 2 (Larose, 2002).

Every projective core is strongly projective.

Final overview



Final overview



Theorem (Okrasa, Rz.).

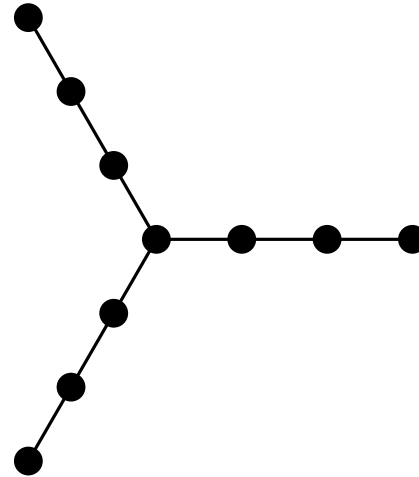
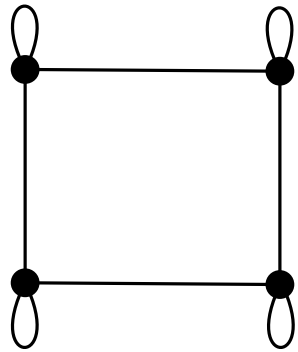
Assume Conjectures 1 and 2. Let $H = H_1 \times \dots \times H_m$ be a core, where $|H_1| \geq \dots \geq |H_m|$. Then H -coloring of G

a) can be solved in time $|H_1|^{\text{tw}(G)}$,

b) cannot be solved in time $(|H_1| - \varepsilon)^{\text{tw}(G)}$, under the SETH.

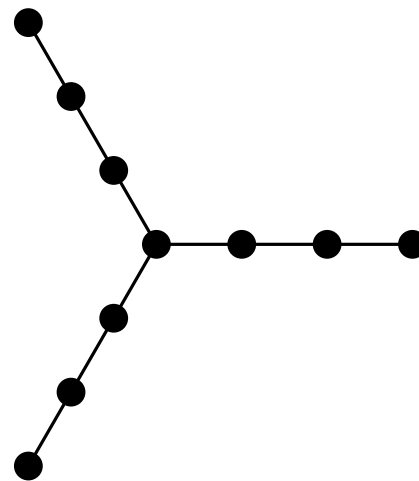
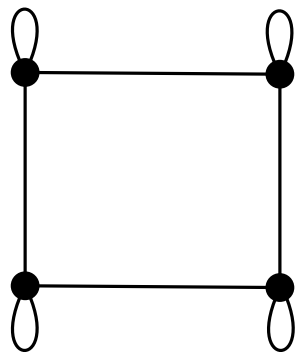
List homomorphisms

- ▶ each vertex v of G has a list $L(v)$ of vertices of H
- ▶ v can only be mapped to a vertex from $L(v)$
- ▶ it's a *harder* problem, in particular has more NP-hard cases



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Problem.

For every graph H , find $k = k(H)$, such that **list** H -coloring of G

- ▶ can be solved in time k^{tw} ,
- ▶ cannot be solved in time $(k - \varepsilon)^{\text{tw}}$, unless the SETH fails.
- ▶ we still have $k \leq |H|$ and $k = |H|$ if H is complete

Algorithmic idea: incomparable vertices

- ▶ actually, we have

$$k \leq \max_{v \in V(G)} |L(v)|.$$

this is not
a property
of H

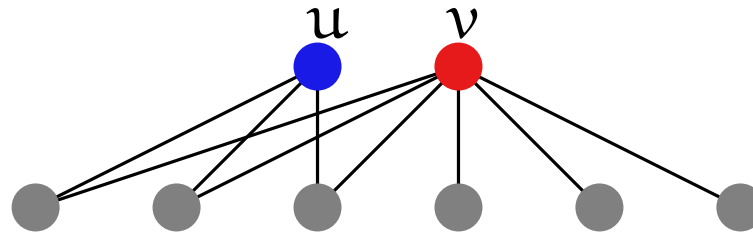
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... or is it?

- ▶ $u, v \in V(H)$ are **comparable** if $N(u) \subseteq N(v)$



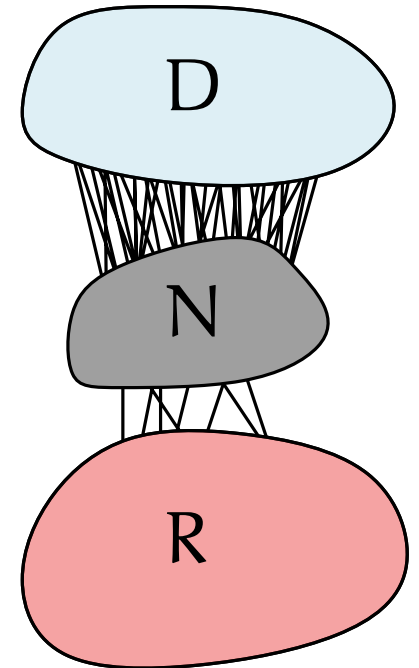
- ▶ if both appear in one list, we can safely remove u
- ▶ no list contains two comparable vertices

$i(H)$ = size of the largest set of pairwise incomparable vertices

- ▶ $k \leq i(H)$

One more algorithmic idea: decomposition

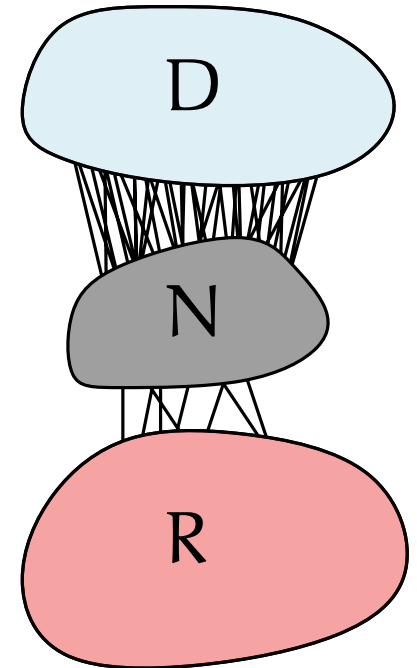
- ▶ we found three types of decompositions of H
- ▶ N separates D and R
- ▶ N is a reflexive clique
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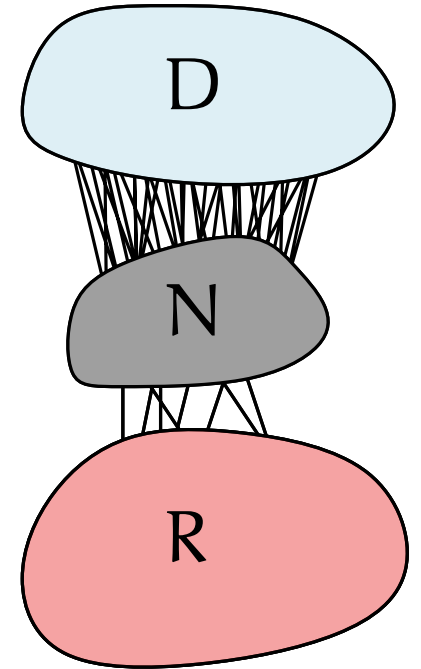
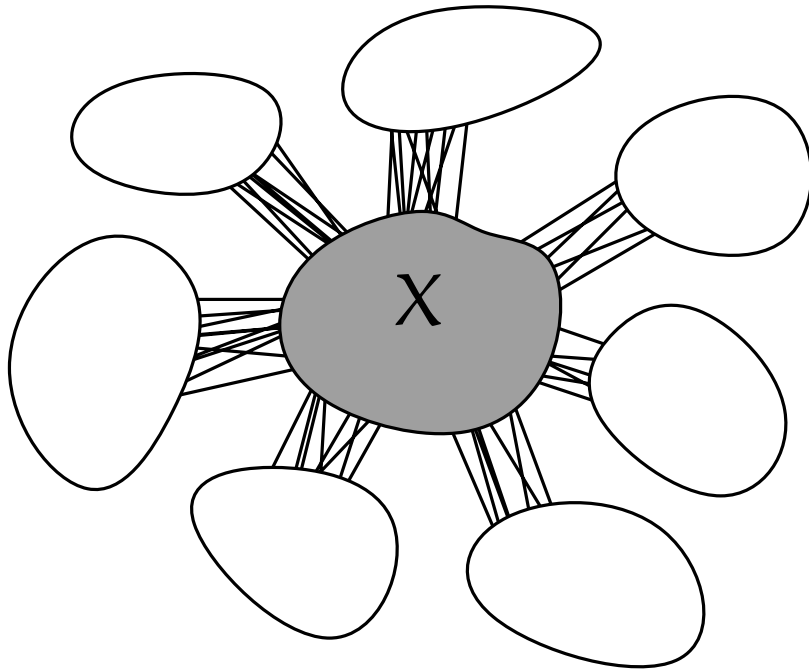
- ▶ N separates D and R
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- ▶ for $u \in D$ and $v \in N$, always $N[u] \subseteq N[v]$
- ▶ vertices from D and N never appear in the same list
- ▶ if $x \in V(G)$ is mapped to D and $y \in V(G)$ is mapped to R , then every x - y path contains a vertex mapped to N

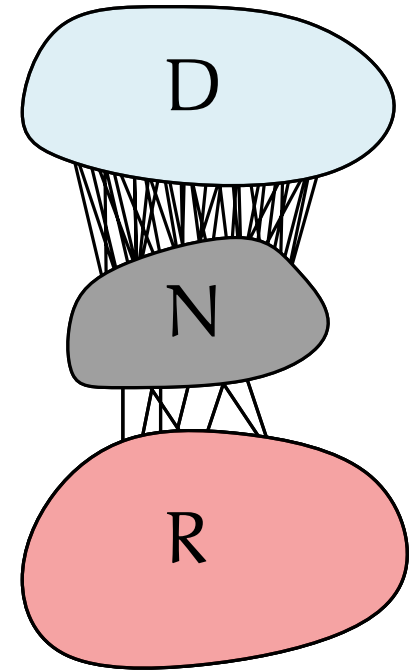
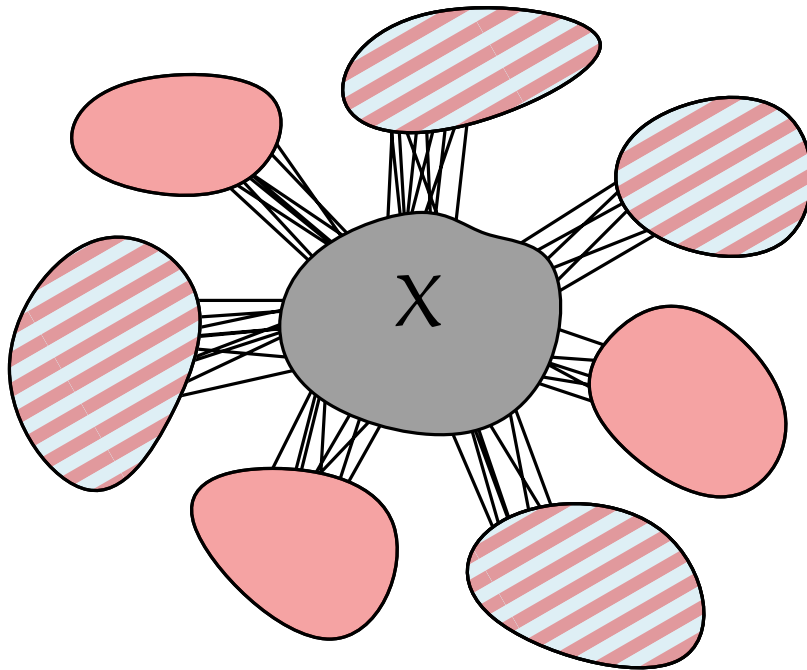
Decomposition lemma

$X = \{v: L(v) \cap N \neq \emptyset\}$, $\mathcal{C} = \text{set of components of } G - X$



Decomposition lemma

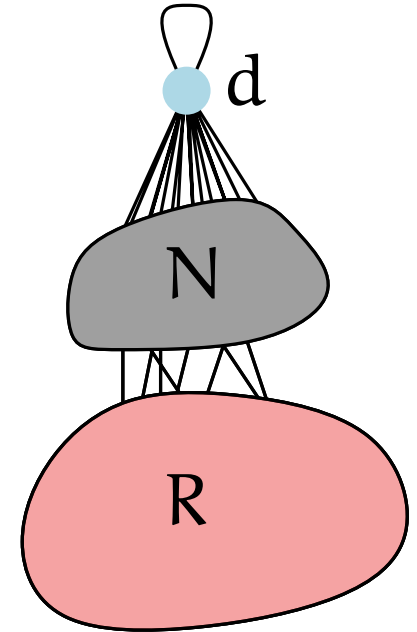
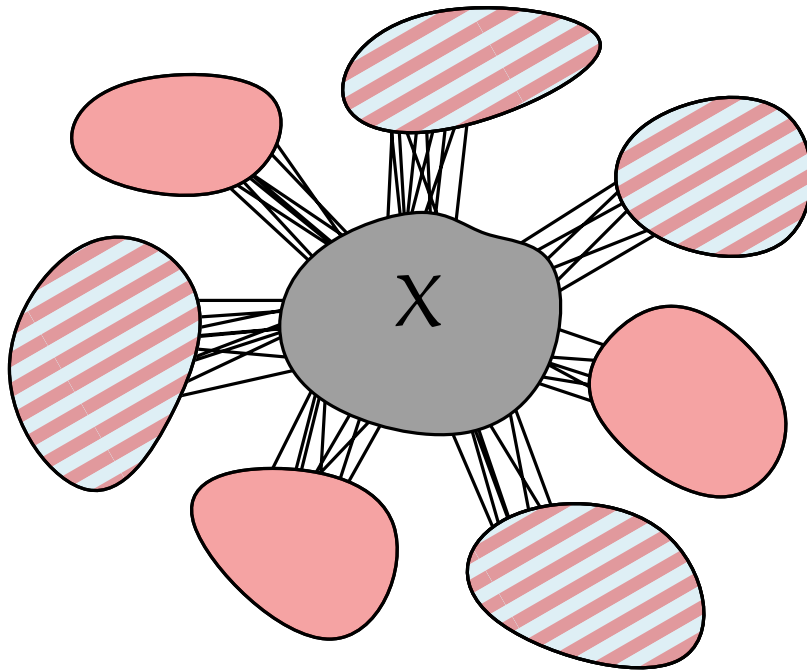
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- ▶ every $C \in \mathcal{C}$ must be entirely mapped to D or R
- ▶ precompute list $H[D]$ -coloring of each $C \in \mathcal{C}$
- ▶ collapse D to a single reflexive vertex d , obtaining H'
- ▶ update lists: if v is in $C \in \mathcal{C}$, which can be mapped to D, then add d to $L(v)$

Decomposition lemma, continued

- ▶ we reduced an instance of list H-Coloring to $n^{\Theta(1)}$ instances of list H[D]-Coloring and list H'-Coloring

Decomposition lemma.

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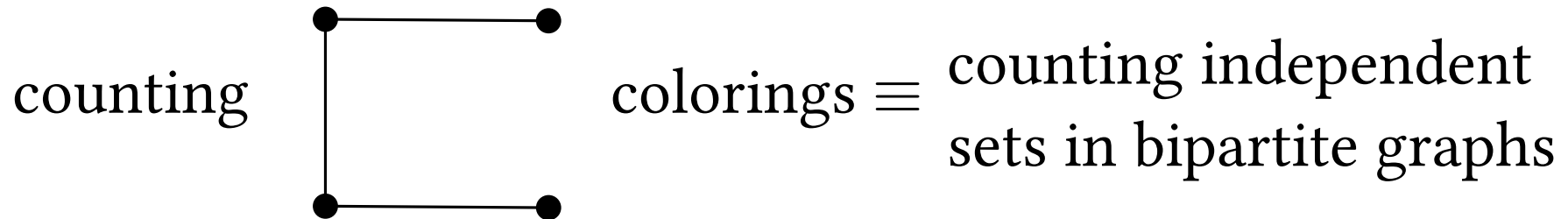
Theorem (Egri, Marx, Rz. + Okrasa, Piecyk, Rz.).

For every graph “hard” H, $i^*(H)$ is the correct bound:

- List H-Coloring can be solved in time $(i^*(H))^{\text{tw}}$,
- List H-Coloring cannot be solved in time $(i^*(H) - \varepsilon)^{\text{tw}}$ (SETH).

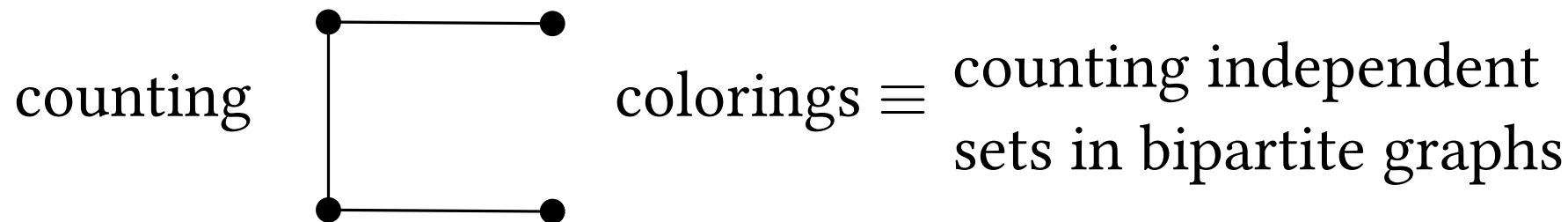
Counting list homomorphisms

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- ▶ even more hard cases than for the decision variant



Counting list homomorphisms

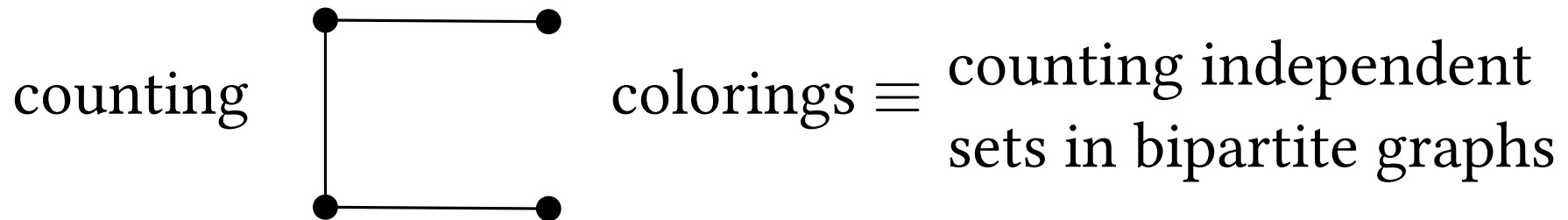
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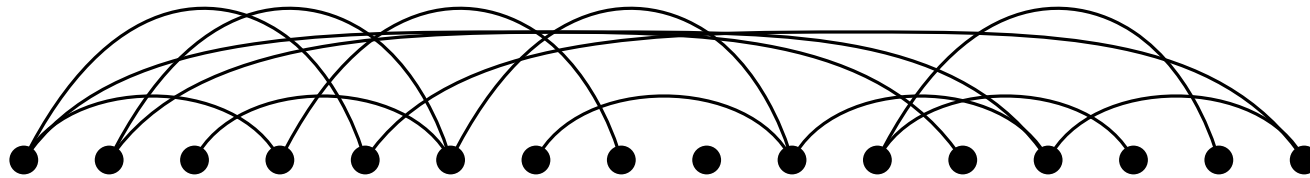
- $\#$ List H -Coloring can be solved in time $(\text{irr}(H))^{\text{tw}}$,
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What's next?

- ▶ similar results are known for **cliquewidth**
[Ganian, Hamm, Korchemna, Okrasa, Simonov]

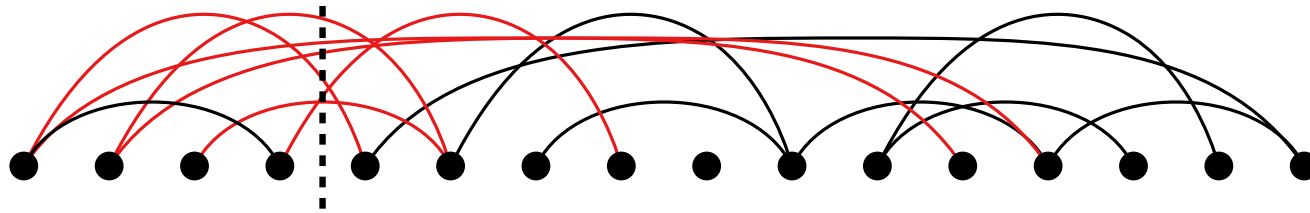
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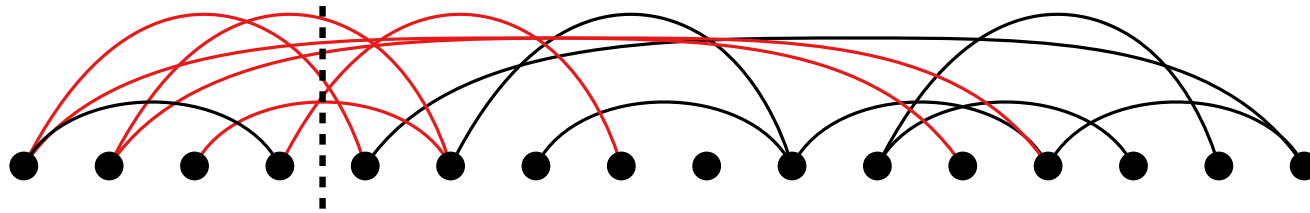
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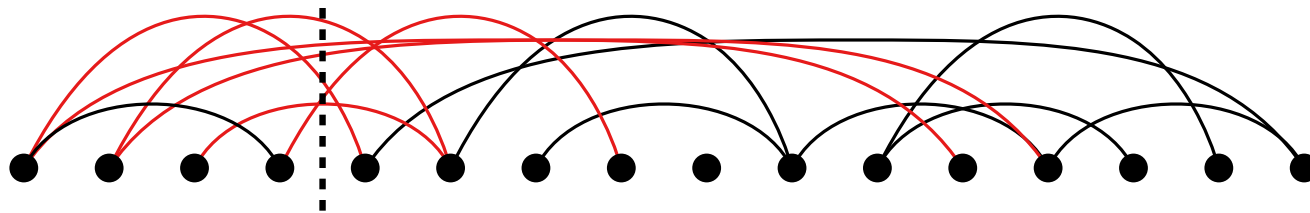


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(List) k -Coloring can be solved in (randomized) time 2^{ctw} .

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- ▶ complexity of CSP parameterized by the **structure** of the Gaifman graph

Even if meant for kids, still fun for adults

